

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mahne

Application No.:

09/259,991

Appl'n No. Bar Code:

Filed:

03/10/1999

For: Transparent Encryption and Decryption with Algorithm Independent Cryptographic Engine that Allows for Containerization of Encrypted Files

Commissioner for Patents Washington, D.C. 20231

Art Unit: 2134

Examiner: Smithers

RECEIVED

JUL 0.9 2003

Technolog Center 2100

Information Disclosure Statement

Dear Sir:

General Matters

X Copies of the references listed on the attached form PTO/SB/08A are enclosed.

This Information Disclosure Statement is being filed under:

X	37 CFR 1.97(c)	Before the mailing date of any of a final action under § 1.113, a
		notice of allowance under § 1.311, or an action that otherwise closes
		prosecution in the application. This IDS is accompanied by one of:
		(1) The statement specified in § 1.97(e); or
X		(2) The fee set forth in § 1.17(p).

Deposit Account Authorization

Please apply any charges or credits to Deposit Account No. 501524.

Respectfully submitted,

Date: July 2, 2003

Steven C. Sereboff, Reg. No. 37,035

SoCal IP Law Group 310 N. Westlake Blvd., Suite 120 Westlake Village, CA 91362 Telephone: 805/230-1350 x22

Facsimile: 805/230-1355

e-mail: ssereboff@socalip.com

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By: ______ Dated: July 3, 2003

Steven C. Sereboff

App. Number



2134

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DePARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

C	omplete if Know	7 556511455
Application Number	09/259,991	RECEIVED
Filing Date	03-10-1999	1111 0 0 2003
First Named Inventor	Mahne	JUL 0 3 2003
Examiner Name	Smithers	Technology Center 21
Art Unit	2134	
Attorney Docket No.	M000-P02003	

METH	HOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)						ECALCULATION (continued)		
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Deposit Account:						Small			
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	s authorized t (s) indicated be	o: (check all that apply) elow Credit any o	verpayments		2,520	1812		For filing a request for ex parte reexamination	
	• •	(s) during the pendency of		1804	920*	1804	920°	Requesting publication of SIR prior to	
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to the above-io	• •	• •		1605	1,840*	1805	1,040	Requesting publication of SIR after Examiner action	
	FEE C	CALCULATION		1251	110	2251	55	Extension for reply within first month	
1. BASIC F				1252	410	2252	205	Extension for reply within second month	
Large Entity		.	Con Dala	1253	930	2253	465	Extension for reply within third month	
F <u>ee Fee</u> Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
1001 750	2001 375	Utility filing fee		1255	1,970	2255	985	Extension for reply within fifth month	
1002 330	2002 165	Design filing fee		1401	320	2401	160	Notice of Appeal	
1003 520	2003 260	Plant filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1004 750	2004 375	Reissue filing fee		1403	280	2403	140	Request for oral hearing	
1005 160	2005 80	Provisional filing fee			1,510	1451	• • • •	Petition to institute a public use proceeding	
	' ;	SUBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA	CLAIM FEE	S FOR UTILITY AND	REISSUE		1,300	2453		Petition to revive - unintentional	
		Fee fro Ext <u>ra Claim</u> s <u>below</u>	m		1,300 470	2501		Utility issue fee (or reissue)	
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Independent Claims		•• = X		1460	130	1460		Petitions to the Commissioner	
Multiple Depe	ndent]=	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
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Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		8021	40	8021		Recording each patent assignment per property (times number of properties)	-
1202 18		9 Claims in excess of 20		1809	750	2809	375	Filing a submission after final rejection	
1201 84	2201 4	•						(37 ČFR 1.129(a))	
1203 280	2203 14		•	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1204 84	2204 4	2 ** Reissue independen over original patent	t claims	1801	750	2801	375	Request for Continued Examination (RCE)	
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**or numbe		id, if greater; For Reissues	see above	*Redu	ced by	Basic f	Filing F	ee Paid SUBTOTAL (3) (\$) 180.0	00

SUBMITTED BY					(Complete (if applicable)
Name (Print/Type)	Steven C Sereboff	\bigcirc	Registration No. (Attorney/Agent)	37,035	Telephone	805-230-1350
Signature	XW				Date	July 2, 2003

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PTO/SB/08A (10-01)

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Complete if Known Substitute for form 1449A/PTO Application Number 09/259,991 INFORMATION DISCLOSURE 03/01/1999 **Filing Date** STATEMENT BY APPLICANT **First Named Inventor** Mahne **Art Unit** 2134 (use as many sheets as necessary) **Examiner Name Smithers** M000-P02003US Attorney Docket Number 1 of \ 6 Sheet

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite	Document Number Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
Tilliais	110.	US- 5649,185		Antognini	Figures Appear
,		US- 4,588,991		Atalia	חבסבועם
		US- 5,511,122		Atkinson	RECEIVED
		US- 5.416.842		Aziz	
		US- 4,432,084	02/14/1984	Barker, et al.	JUL 0 9 20 0 3
,,		US- 4,278,837	07//14/1981	Best	
		US- 5,748,738		Bisbee	Technology Center 210
***************************************		US- 4,907,228	03/06/1990	Bruckert, et al.	
		US- 4,916,704	04/10/1990	Bruckert, et al.	
		US- 5,065,312	11/12/1991	Bruckert, et al.	
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		US- 5,291,494		Bruckert, et al.	A
	ļ	US- 5,339,408		Bruckert, et al.	
		US- 6,249,866	06/19/2001	Brundrett, et al.	
	.	US- 4,438,494	03/20/1984	Budde, et al.	
		US- 5,778,071		Caputo	
	<u> </u>	US- 5,506,961		Carlson	
	1	US- 5,713,018	01/27/1998	Chan	

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Examiner Initials		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т6		
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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/259.991 INFORMATION DISCLOSURE Filing Date 03/01/1999 STATEMENT BY APPLICANT **First Named Inventor** Mahne Art Unit 2134 (use as many sheets as necessary) Examiner Name **Smithers** 2 of | 6 Attorney Docket Number M000-P02003US Sheet

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,313,579		Chao	
		US- 4,442,484	04/10/1984	Childs, Jr., et al.	DE0E11/55
		US- 4,633,430	12/30/1986	Cooper	RECEIVED
		US- 5,598,470	,	Cooper, et al.	
		US- 5,404,881		Crockett	JUL 0.9 2003
		US- 4,780,905		Cruts, et al.	
		US- 4,780,821	10/25/1988	Crossley	= b-slow Contor 91
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		US- 5,007,082		Cummins	
		US- 5,063,596	11/05/1991	Dyke	
	<u> </u>	US- 4981,370	01/01/91	Dziewit, et al.	
	1	US- 4,203,166	05/13/1980	Ehrsam, et al.	
		US- 4,238,854		Ehrsam, et al.	
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		US- 5,815,571	09/29/1998	Finley	
		US- 5,666,490		Gillings	
		US- 4,568,936	02/04/1986	Goldman	
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	1	US- 5,870,468		Harrison	-

	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6			
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PTO/SB/08A (10-01)

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Substitute	e for form 1449A/PT(	)		Complete if Known		
				Application Number	09/259,991	
INFO	RMATION	DI	SCLOSURE	Filing Date	03/01/1999	
STAT	TEMENT B	YA	APPLICANT	First Named Inventor	Mahne	
				Art Unit	2134	
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Sheet	3	of	6	Attorney Docket Number	M000-P02003US	

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,224,166		Hartmann, Jr.	
	1	US- 4,633,036	12/30/1986	Hellmann, et al.	
		US- 4,924,378		Hershey, et al.	
		US- 5,243,518	09/07/1993	Holt, et al.	DECENTED
		US- 5,455,883	······································	Hoskinson	RECEIVED
		US- 5,333,198		Houlberg	
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		US- 5,263,157	11/16/1993	Janis	<u> </u>
		US- 5,175,852		Johnson, et al.	Technology Center
		US- 5,289,540		Jones	IBCIII 101093 course
		US- 5,490,100		Kableshkov	
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	1	US- 5,596,745		Lai, et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials		Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ⁶
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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/259.991 INFORMATION DISCLOSURE 03/01/1999 Filing Date STATEMENT BY APPLICANT **First Named Inventor** Mahne Art Unit 2134 (use as many sheets as necessary) **Examiner Name** Smithers Sheet 4 of l 6 Attorney Docket Number M000-P02003US

			U.S. PATI	ENT DOCUMENTS	
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		US- 5,490,252		Macera	
		US- 5,623,653		Matsuno, et al.	
	l	US- 5,142,578	**************************************	Matyas, et al.	
	1	US- 5,796,824		McDonnal, et al.	RECEIVED
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	1	US- 5,394,469	***************************************	Nagel, et al.	JUL 0 9 200
	ļ	US- 5,163,147	11/10/1992	Orita	00 <u>E</u> 00
		US- 5,388,196	02/07/1995	Pajak, et al.	T 11
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Examiner Initials	Cite No.1	Foreign Patent Document  Country Code 3 "Number 4". Kind Code 6" (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Substitute for form 1449A/PTO	Complete if Known		
	Application Number	Cooper	
INFORMATION DISCLOSURE	Filing Date	03/01/1999	
STATEMENT BY APPLICANT	First Named Inventor	Mahne	
	Art Unit	2134	
(use as many sheets as necessary)	Examiner Name	Smithers	
Sheet 5 of 6	Attorney Docket Number	M000-P02003US	

		U.S. PA	TENT DOCUMENTS	
Examiner Initials	Cite No. 1 Number - Kind Co	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US- 4,807,287	02/21/1989	Tucker, et al.	
	US- 5,404,624		Tulpan	
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	US- 5,276,85	9	Reede	
	US- 6,154,480	)	Pebley	
	US- 5,778,072		Samar	
	US- 5,987,123		Scott	
	US- 5,410,598		Shear	

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Examiner Initials		Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶		
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			U.S. PAT	ENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
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